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FEE TRANSMITTAL
for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$) 770

Complete if Known

Application Number	09/964,408
Filing Date	September 28, 2001
First Named Inventor	SUMIYA
Examiner Name	YAM, Stephen K
Art Unit	2878
Attorney Docket No.	01-213-RCE

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit AccountDeposit Account Number
Deposit Account Name

50-1147

POSZ & BETHARDS, PLC

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	385	2001	385	Utility filing fee	
1002	2002	340	170	2002	170	Design filing fee	
1003	2003	530	265	2003	265	Plant filing fee	
1004	2004	770	385	2004	385	Reissue filing fee	
1005	2005	180	80	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X 18	
Multiple Dependent	-3** =	X 86	

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	2202	18	9	2202	9	Claims in excess of 20	
1201	2201	86	43	2201	43	Independent claims in excess of 3	
1203	2203	290	145	2203	145	Multiple dependent claim, if not paid	
1204	2204	86	43	2204	43	**Reissue independent claims over original patent	
1205	2205	18	9	2205	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	2051	65	Surcharge - late filing fee or oath	
1052	2052	50	25	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	1053	130	130	1053	130	Non-English specification	
1812	1812	2,520	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	1804	920*	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1805	1,840*	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	2251	55	Extension for reply within first month	
1252	2252	420	210	2252	210	Extension for reply within second month	
1253	2253	950	475	2253	475	Extension for reply within third month	
1254	2254	1,480	740	2254	740	Extension for reply within fourth month	
1255	2255	2,010	1005	2255	1005	Extension for reply within fifth month	
1401	2401	330	165	2401	165	Notice of Appeal	
1402	2402	330	165	2402	165	Filing a brief in support of an appeal	
1403	2403	290	145	2403	145	Request for oral hearing	
1451	1451	1,510	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	2452	110	55	2452	55	Petition to revive - unavoidable	
1453	2453	1,330	665	2453	665	Petition to revive - unintentional	
1501	2501	1,330	665	2501	665	Utility issue fee (or reissue)	
1502	2502	480	240	2502	240	Design issue fee	
1503	2503	640	320	2503	320	Plant issue fee	
1460	1460	130	130	1460	130	Petitions to the Commissioner	
1807	1807	50	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	1806	180	180	1806	180	Submission of Information Disclosure Stmt	
8021	8021	40	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	2809	770	385	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	770	385	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	2801	770	385	2801	385	Request for Continued Examination (RCE)	770
1802	1802	900	900	1802	900	Request for expedited examination of a design application	


Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 770

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	David G. Posz	Registration No. (Attorney/Agent)	37,701	Telephone	(703) 707-9110
Signature		Date	October 24, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/964,408
Filing Date	September 28, 2001
First Named Inventor	SUMIYA
Group Art Unit	2878
Examiner Name	YAM, STEPHEN K
Attorney Docket Number	01-213

ENCLOSURES (check all that apply)

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input checked="" type="checkbox"/> Preliminary Amendment
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/Incomplete Application

<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition
<input type="checkbox"/> To Convert a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
<input type="checkbox"/> Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Request of Refund | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<div>Request For Continued Examination</div> |
|--|--|--|

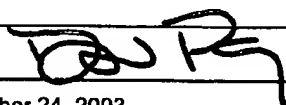
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Posz & Bethards, PLC

Signature



Date

October 24, 2003